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# An extension of the Chebyshev polynomials

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## Abstract

We consider a generalization of the Chebyshev polynomials of the second kind. These polynomials can be expressed as transformed Chebyshev polynomials of a complex variable. The idea of this generalization comes from an extension of typically real functions, where as the kernel function appears the  $q$ -Koebe functions proposed by Gasper (SIAM J. Math. Anal. 20 (1989) 1019), which play the role of generating function.

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## 1. Introduction

In one of his papers, Gasper [4] proved several interesting  $q$ -extensions of the inequalities used in [1] in de Branges' proof of the Milin–Bieberbach conjectures. Gasper [4] proposed to study some  $q$ -extensions of the Löwner differential equation and suggested that the important role of the Koebe function

$$k_1(z) = \frac{z}{(1-z)^2} = z \cdot {}_1F_0 \left[ \begin{matrix} 2 \\ - \end{matrix}; z \right], \quad z \in \mathbb{D} = \{z : |z| < 1\} \quad (1)$$

should be played by the  $q$ -Koebe function

$$k_q(z) = \frac{z}{(1-z)(1-qz)} = z \cdot {}_1\phi_0 \left[ \begin{matrix} q^2 \\ - \end{matrix}; q, z \right], \quad z \in \mathbb{D}. \quad (2)$$

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The parameter  $q$  here and below belongs to the interval  $(-1, 1]$ .

The limit cases  $q = \pm 1$  can be considered as well, but only  $q = 1$  will be allowed below.

An important class of analytic functions in the geometric function theory is the class of typically real functions [3]. This class was introduced in [7] and studied subsequently in [5,6].

**Definition 1.** Let  $\mathcal{H}(\mathbb{D})$  denote the holomorphic functions in the unit disc  $\mathbb{D}$  of the form  $f(z) = z + a_2 z^2 + \dots$ .

**Definition 2.** A function  $f(z) = z + a_2 z^2 + \dots \in \mathcal{H}(\mathbb{D})$  is called the typically real in  $\mathbb{D}$  if it satisfies the following conditions:

$$f(z) \text{ is real for real } z \in (-1, 1) \quad \text{and} \quad \operatorname{Im} f(z) \cdot \operatorname{Im} z > 0, \quad z \in \mathbb{D} \setminus (-1, 1).$$

The class of typically real functions in  $\mathbb{D}$  will be denoted by  $T_{\mathbb{R}}$ . Robertson [6] found the integral representation for the typically real functions, namely

$$f(z) = \int_0^\pi \frac{z}{(1 - ze^{i\theta})(1 - ze^{-i\theta})} d\mu(\theta) = \int_0^\pi \frac{z}{1 - 2z \cos \theta + z^2} d\mu(\theta),$$

$\mu \in \mathcal{P}_{[0, \pi]}$ , where  $\mathcal{P}$  denotes the set of the probability measures on  $[0, \pi]$ . Therefore,

$$T_{\mathbb{R}} = \left\{ f(z) = z + a_2 z^2 + \dots \in \mathcal{H}(\mathbb{D}); \right. \\ \left. f(z) = \int_0^\pi \frac{z}{(1 - ze^{i\theta})(1 - ze^{-i\theta})} d\mu(\theta), \quad \mu \in \mathcal{P}_{[0, \pi]} \right\}. \quad (3)$$

From the above representation, we see that the class  $T_{\mathbb{R}}$  is closely connected with the generating function  $\Psi$  for the Chebyshev polynomials of the second kind,  $U_n(x)$ ,  $x = \cos \theta$ ,  $\theta \in [0, \pi]$ , [2], namely

$$\Psi(e^{i\theta}; z) = \frac{1}{(1 - ze^{i\theta})(1 - ze^{-i\theta})} = \sum_{n=0}^{\infty} U_n(x) z^n, \quad z \in \mathbb{D}, \quad (4)$$

where

$$U_n(x) = \frac{\sin(n+1)\theta}{\sin \theta}, \quad x = \cos \theta, \quad \theta \in [0, \pi], \quad n = 0, 1, \dots \quad (5)$$

The form of the  $q$ -Koebe function, proposed in [4], and the kernel function in the integral representation formula (3) for the class  $T_{\mathbb{R}}$  suggest the study of the following class of functions:

$$T_{\mathbb{R}}^q = \left\{ f(z) = z + a_2 z^2 + \dots \in \mathcal{H}(\mathbb{D}); \right. \\ \left. f(z) = \int_{-\pi}^\pi \frac{z}{(1 - ze^{i\theta})(1 - qze^{-i\theta})} d\mu(\theta), \quad \mu \in \mathcal{P}_{[-\pi, \pi]} \right\}. \quad (6)$$

So we see that the  $q$ -Koebe function can be used as a tool in the definition of a new class of holomorphic functions in  $\mathbb{D}$ .

When studying the extremal problems for  $T_{\mathbb{R}}^q$ , especially coefficient problems, we meet the trigonometric polynomials  $U_n(q; e^{i\theta})$  which are defined by the generating function

$$\Psi_q(e^{i\theta}; z) = \frac{1}{(1 - ze^{i\theta})(1 - qze^{-i\theta})} = \sum_{n=0}^{\infty} U_n(q; e^{i\theta}) z^n, \quad (7)$$

$z \in \mathbb{D}$ ,  $\theta \in [-\pi, \pi]$ ,  $q \in (-1, 1]$ , where

$$U_n(q; e^{i\theta}) = \frac{e^{i(n+1)\theta} - q^{n+1}e^{-i(n+1)\theta}}{e^{i\theta} - qe^{-i\theta}}, \quad n \geq 2, \\ U_0(q; e^{i\theta}) = 1, \quad U_1(q; e^{i\theta}) = e^{i\theta} + qe^{-i\theta}. \quad (8)$$

Observe that  $U_n(1; e^{i\theta}) = U_n(\cos \theta)$ . It appears that the trigonometric polynomials  $U_n(q; e^{i\theta})$  can be considered as the boundary values for  $z = e^{i\theta}$  of the following symmetric Laurent polynomials:

$$U_n(q; z) = \frac{z^{n+1} - q^{n+1}/z^{n+1}}{z - q/z} = z^n + qz^{n-2} + q^2z^{n-4} + \dots + \frac{q^{n-1}}{z^{n-2}} + \frac{q^n}{z^n}, \quad z \neq 0, \\ U_n(q; z) = U_n(q; q/z). \quad (9)$$

In this paper, we study the basic properties of the trigonometric polynomials  $U_n(q; e^{i\theta})$ . The obtained results for  $q = 1$  give the corresponding ones for Chebyshev polynomials of the second kind.

In particular, we find for the trigonometric polynomials  $U_n(q; e^{i\theta})$  the three-term recurrence relation, the differential equation of the second order, the orthogonality properties, etc.

## 2. The main results

The function  $k_1(z)$  is starlike in  $\mathbb{D}$  and convex in the disc  $|z| < 2 - \sqrt{3}$ . The following two propositions generalize these properties for the function  $k_q(z)$ .

**Proposition 1.** *The function  $k_q(z)$  is  $\alpha$ -starlike in  $\mathbb{D}$  with*

$$\alpha = \alpha(q) = \frac{1}{2} \frac{1 - |q|}{1 + |q|}.$$

**Proof.** We recall that  $f \in \mathcal{H}(\mathbb{D})$  is  $\alpha$ -starlike in  $\mathbb{D}$  iff

$$\operatorname{Re} \frac{zf'(z)}{f(z)} > \alpha, \quad z \in \mathbb{D}, \quad 0 \leq \alpha < 1, \quad [3].$$

Taking logarithmic derivative of (2) we find

$$\frac{zk'_q(z)}{k_q(z)} = 1 + \frac{z}{1-z} + \frac{qz}{1-qz}.$$

Therefore for  $z \in \mathbb{D}$  we have

$$\operatorname{Re} \frac{zk'_q(z)}{k_q(z)} = \frac{1}{2} \operatorname{Re} \frac{1+z}{1-z} + \frac{1}{2} \operatorname{Re} \frac{1+qz}{1-qz} \geq \frac{1}{2} \frac{1 - |q|}{1 + |q|},$$

which ends the proof.  $\square$

**Proposition 2.** *The function  $k_q(z)$  is convex in the disc  $|z| < r_c(q)$ , where*

$$r_c(q) = \frac{\tau - \sqrt{\tau^2 - 4|q|}}{2|q|}$$

and  $\tau = \frac{1}{2}[(1 + |q|) + \sqrt{1 + 34|q| + |q|^2}]$ .

**Proof.** A function  $f \in \mathcal{H}(\mathbb{D})$  is univalent and convex in  $\mathbb{D}$ , if and only if

$$\operatorname{Re} \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > 0, \quad z \in \mathbb{D}, \quad [3].$$

Therefore in order to find the radius  $r_c(q)$  of the disc in which the  $q$ -Koebe function is convex we have to find  $r \in (0, 1)$  such that

$$\min_{|z|=r<1} \operatorname{Re} \left\{ 1 + \frac{zk_q''(z)}{k_q'(z)} \right\}$$

is positive.

One can find

$$1 + \frac{zk_q''(z)}{k_q'(z)} = 1 + 2 \frac{z}{1-z} + 2 \frac{qz}{1-qz} - 2 \frac{qz^2}{1-qz^2} = \frac{1+z}{1-z} + \frac{1+qz}{1-qz} - \frac{1+qz^2}{1-qz^2},$$

but

$$\frac{1-r}{1+r} \leq \operatorname{Re} \frac{1+z}{1-z} \leq \frac{1+r}{1-r}, \quad |z|=r<1,$$

and we have

$$\operatorname{Re} \left\{ 1 + \frac{zk_q''(z)}{k_q'(z)} \right\} \geq \frac{1-r}{1+r} + \frac{1-|q|r}{1+|q|r} - \frac{1+|q|r^2}{1-|q|r^2}.$$

The last expression is positive if  $0 < r < r_0$ , where  $r_0$  is the least positive root of the equation

$$|q|^2 r^4 - |q|(1+|q|)r^3 - 6|q|r^2 - (1+|q|)r + 1 = 0.$$

Dividing the above equation by  $|q|^2 r^2$  and substituting

$$r + \frac{1}{|q|r} = t,$$

we obtain the equation

$$|q|r^2 - |q|tr + 1 = 0,$$

which implies the result.  $\square$

**Proposition 3.** *If  $f \in T_{\mathbb{R}}^q$ , then we have the following sharp bound:*

$$|a_n| \leq \frac{1 - |q|^n}{1 - |q|} = U_n(|q|; 1).$$

**Proof.** Observe that for  $f \in T_{\mathbb{R}}^q$ :

$$a_n = \int_{-\pi}^{\pi} U_{n-1}(q; e^{i\theta}) d\mu(\theta),$$

which implies

$$\begin{aligned} |a_n| &= \int_{-\pi}^{\pi} |U_{n-1}(q; e^{i\theta})| d\mu(\theta) \leq \int_{-\pi}^{\pi} \left| \frac{e^{in\theta} - q^n e^{-in\theta}}{e^{i\theta} - q e^{-i\theta}} \right| d\mu(\theta) \\ &= \int_{-\pi}^{\pi} |e^{i(n-1)\theta} + q e^{i(n-3)\theta} + \dots + q^{n-1} e^{-i(n-1)\theta}| d\mu(\theta) \leq U_n(|q|; 1), \end{aligned}$$

because  $\mu$  is probability measure on  $[-\pi, \pi]$ .  $\square$

**Theorem 1.** *The trigonometric polynomials  $U_n(q; e^{i\theta})$  satisfy the three-term recurrence relation*

$$U_{n+2}(q; e^{i\theta}) - (e^{i\theta} + q e^{-i\theta}) U_{n+1}(q; e^{i\theta}) + q U_n(q; e^{i\theta}) = 0, \quad n = 0, 1, \dots \quad (10)$$

with  $U_0(q; e^{i\theta}) = 1$ ,  $U_1(q; e^{i\theta}) = e^{i\theta} + q e^{-i\theta}$ .

**Proof.** Define

$$W_n = e^{i(n+1)\theta} - q^{n+1} e^{-i(n+1)\theta}. \quad (11)$$

Therefore,

$$W_{n+1} = e^{i(n+2)\theta} - q^{n+2} e^{-i(n+2)\theta} \quad (12)$$

and

$$W_{n+2} = e^{i(n+3)\theta} - q^{n+3} e^{-i(n+3)\theta}. \quad (13)$$

Multiplying (11) by  $e^{i\theta}$  and  $q e^{-i\theta}$  and subtracting from (12) we get

$$W_{n+1} - e^{i\theta} W_n = q^{n+1} e^{-i(n+1)\theta} (e^{i\theta} - q e^{-i\theta}),$$

$$W_{n+1} - q e^{-i\theta} W_n = e^{i(n+1)\theta} (e^{i\theta} - q e^{-i\theta}).$$

Finding from the above  $e^{i(n+1)\theta}$  and  $e^{-i(n+1)\theta}$  and putting them into (13) we obtain after short calculations

$$W_{n+2} = e^{2i\theta} \frac{W_{n+1} - qe^{-i\theta}W_n}{e^{i\theta} - qe^{-i\theta}} - q^2 e^{-2i\theta} \frac{W_{n+1} - e^{i\theta}W_n}{e^{i\theta} - qe^{-i\theta}},$$

which gives  $W_{n+2} = (e^{i\theta} + qe^{-i\theta})W_{n+1} - qW_n$ , and formula (10), which ends the proof.  $\square$

Theorem 1 can be expressed differently.

**Theorem 1a.** Let  $p_n$  be the polynomial system satisfying the three-term recurrence relation:

$$xp_n(x) = p_{n+1}(x) + qp_{n-1}(x) \quad (*)$$

with the initial conditions  $p_0(x) = 1$ ,  $p_1(x) = x$ . Then

$$U_n(q; e^{i\theta}) = p_n(e^{i\theta} + qe^{-i\theta}).$$

**Proof.** By Favard's theorem [2]  $p_n$  form an orthogonal system on the real line. One can observe that from the three-term recurrence relation for the Chebyshev polynomials of the second kind

$$2xU_n(x) = U_{n+1}(x) + U_{n-1}(x), \quad U_0(x) = 1, \quad U_1(x) = 2x,$$

by substitution  $x = t/2\sqrt{q}$  and rearrangement we obtain

$$tq^{n/2}U_n\left(\frac{t}{2\sqrt{q}}\right) = q^{(n+1)/2}U_{n+1}\left(\frac{t}{2\sqrt{q}}\right) + qq^{(n-1)/2}U_{n-1}\left(\frac{t}{2\sqrt{q}}\right).$$

This shows that

$$p_n(x) = q^{n/2}U_n\left(\frac{x}{2\sqrt{q}}\right).$$

Comparison of (\*) and (10) implies the equality

$$U_n(q; e^{i\theta}) = p_n(e^{i\theta} + qe^{-i\theta}). \quad \square$$

**Theorem 2.** The function  $y(\theta) = U_n(q; e^{i\theta})$  satisfies the following differential equation of the second order:

$$(e^{i\theta} - qe^{-i\theta})y'' + 2i(e^{i\theta} + qe^{-i\theta})y' + n(n+2)(e^{i\theta} - qe^{-i\theta})y = 0. \quad (14)$$

**Proof.** Using the explicit formula (8) for  $U_n(q; e^{i\theta})$  after double differentiation we obtain (14).  $\square$

**Theorem 3.** The trigonometric polynomials  $U_n(q; e^{i\theta})$  satisfy the following orthogonality relation:

$$\int_{-\pi}^{\pi} U_n(q; e^{i\theta}) \overline{U_m(q; e^{i\theta})} \rho(\theta) d\theta = \begin{cases} 0 & \text{if } m \neq n, \\ \frac{\pi}{2}(1 + q^{2(n+1)}) & \text{if } m = n, \end{cases} \quad (15)$$

where

$$\rho(\theta) = \left| \frac{e^{i\theta} - qe^{-i\theta}}{2i} \right|^2.$$

**Proof.** We have

$$\begin{aligned}
 & \int_{-\pi}^{\pi} U_n(q; e^{i\theta}) \overline{U}_m(q; e^{i\theta}) \left| \frac{e^{i\theta} - qe^{-i\theta}}{2i} \right|^2 d\theta \\
 &= \frac{1}{4} \int_{-\pi}^{\pi} \frac{e^{i(n+1)\theta} - q^{n+1}e^{-i(n+1)\theta}}{e^{i\theta} - qe^{-i\theta}} \frac{e^{-i(m+1)\theta} - q^{m+1}e^{i(m+1)\theta}}{e^{-i\theta} - qe^{i\theta}} \\
 &\quad \text{Times}(e^{i\theta} - qe^{-i\theta})(e^{-i\theta} - qe^{i\theta}) d\theta \\
 &= \frac{1}{4} \int_{-\pi}^{\pi} (e^{i(n-m)\theta} - q^{m+1}e^{i(n+m+2)\theta} - q^{n+1}e^{-i(n+m+2)\theta} + q^{m+n+2}e^{i(m-n)\theta}) d\theta \\
 &= \begin{cases} 0 & \text{if } m \neq n, \\ \frac{\pi}{2}(1 + q^{2(n+1)}) & \text{if } m = n. \end{cases}
 \end{aligned}$$

Together with the trigonometric polynomials  $U_n(q; e^{i\theta})$  one can consider the related family of trigonometric polynomials

$$T_n(q; e^{i\theta}) = \frac{1}{2}(e^{in\theta} + q^n e^{-in\theta}), \quad (16)$$

which for  $q = 1$  and  $\theta \in [0, \pi]$  give the Chebyshev polynomials of the first kind.  $\square$

**Theorem 1'.** The trigonometric polynomials  $T_n(q; e^{i\theta})$  satisfy the three-term recurrence relation

$$T_{n+2}(q; e^{i\theta}) - (e^{i\theta} + qe^{-i\theta})T_{n+1}(q; e^{i\theta}) + qT_n(q; e^{i\theta}) = 0, \quad n = 0, 1, \dots \quad (17)$$

with  $T_0(q; e^{i\theta}) = 1$ ,  $T_1(q; e^{i\theta}) = \frac{1}{2}(e^{i\theta} + qe^{-i\theta})$ .

**Remark 1.** Analogously as in Theorem 1a, we may observe that

$$T_n(q; e^{i\theta}) = q^{n/2} T_n \left( \frac{e^{i\theta} + qe^{-i\theta}}{2\sqrt{q}} \right).$$

**Theorem 2'.** The function  $y(\theta) = T_n(q; e^{i\theta})$  satisfies the following differential equation:

$$y'' + n^2 y = 0. \quad (18)$$

**Theorem 3'.** The trigonometric polynomials  $T_n(q; e^{i\theta})$  satisfy the following orthogonality relation:

$$\int_{-\pi}^{\pi} T_n(q; e^{i\theta}) \overline{T}_m(q; e^{i\theta}) d\theta = \begin{cases} 0 & \text{if } m \neq n, \\ 2\pi & \text{if } m = n = 0, \\ \frac{\pi}{2}(1 + q^{2n}) & \text{if } m = n. \end{cases} \quad (19)$$

**Remark 2.** Several formulas for Chebyshev polynomials of the second and the first kind can be easily extended to the polynomials  $U_n(q; e^{i\theta})$  and  $T_n(q; e^{i\theta})$ . Eg., the following is true ( $q \in (-1, 1]$ ),

$n = 1, 2, \dots :$

$$\begin{aligned} U_n(q; e^{i\theta}) &= \frac{-2i}{(n+1)(e^{i\theta} - qe^{-i\theta})} T'_{n+1}(q; e^{i\theta}), \\ (e^{i\theta} - qe^{-i\theta})^2 U'_n(q; e^{i\theta}) &= in(e^{2i\theta} - q^2 e^{-2i\theta}) U_n(q; e^{i\theta}) - 2iq(n+1)(e^{i\theta} - qe^{-i\theta}) U_{n-1}(q; e^{i\theta}), \\ (e^{i\theta} - qe^{-i\theta})^2 U_{n-1}^2(q; e^{i\theta}) &= 2[T_{2n}(q; e^{i\theta}) - q^n], \\ 4[T_n(q; e^{i\theta})]^2 - (e^{i\theta} - qe^{-i\theta})^2 [U_{n-1}(q; e^{i\theta})]^2 &= 4q^n. \end{aligned}$$

**Remark 3.** The trigonometric polynomials  $U_n(q; e^{i\theta})$  and  $T_n(q; e^{i\theta})$  have the following representation:

$$\begin{aligned} U_n(q; e^{i\theta}) &= \sum_{k=0}^{[n/2]} (-1)^k q^k \frac{(n-k)!}{(n-2k)!k!} (e^{i\theta} + qe^{-i\theta})^{n-2k}, \\ T_n(q; e^{i\theta}) &= \frac{n}{2} \sum_{k=0}^{[n/2]} \frac{(-1)^k q^k}{k!} \frac{(n-k-1)!}{(n-2k)!} (e^{i\theta} + qe^{-i\theta})^{n-2k}. \end{aligned}$$

**Remark 4.** The obvious extension of (7) for the Gegenbauer polynomials  $C_n^\lambda(q; e^{i\theta})$  can be done as well.

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